

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY REGION 6 DALLAS, TEXAS
FILED
2011 OCT 19 AM 9:58
REGIONAL HEARING CLERK
EPA REGION VI

IN THE MATTER OF:

Lake Air Services
5445 Highway 380
Lake Arthur, Louisiana 70549

RESPONDENT

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DOCKET NO. FIFRA 06-2012-0306

CONSENT AGREEMENT AND
FINAL ORDER

COMPLAINT
CONSENT AGREEMENT AND FINAL ORDER

The Director, Multimedia Planning and Permitting Division, United States Environmental Protection Agency, Region 6 (EPA) as Complainant, and Lake Air Services located in Lake Arthur, LA (Respondent) in the above referenced action, have consented to the terms of this Complaint Consent Agreement and Final Order (Complaint CAFO).

NOW THEREFORE, before the taking of any testimony, without any adjudication of any issues of law or fact herein, the parties agree to the terms of this Complaint CAFO.

I.
PRELIMINARY STATEMENT

1. This enforcement proceeding is instituted by EPA pursuant to Section 14(a) of the Federal Insecticide, Fungicide, and Rodenticide Act, 7 U.S.C. § 136l(a) (FIFRA) which authorizes the Administrator to bring an administrative action to assess a penalty of up to \$7,500¹ for each violation of Section 12(a)(2)(G) of FIFRA, 7 U.S.C. § 136j(a)(2)(G). This proceeding is

¹The Civil Penalty Inflation Adjustment Rule (62 Fed Reg. 13514, March 20, 1997 and 69 Fed Reg. 7121, February 13, 2004) provides for increases in the statutory penalty provisions for violations which occur after the date the increases take effect. For violations after March 14, 2004, the potential maximum penalty for such violations changed from \$5,500 to \$6,500. The Agency is required to review its penalties once every four years and adjust them for inflation. The Final Rule published at 73 Fed Reg. 75340 raised the FIFRA penalty to \$7,500 for violations occurring after December 11, 2008.

initiated by the issuance of a Complaint and Notice of Opportunity for Hearing (Complaint) incorporated herein.

2. The Complaint alleges Respondent violated regulations promulgated pursuant to FIFRA.

3. For purposes of this proceeding, Respondent admits to the jurisdiction of this Complaint; however, Respondent neither admits nor denies the specific factual allegations contained in this Complaint.

4. Respondent consents to the issuance of this Complaint CAFO hereinafter recited and consents to the assessment and, payment of the stated civil penalty in the amount and by the method set out in this Complaint CAFO in settlement of the violations alleged in this Complaint CAFO.

5. By signature on this Complaint CAFO, Respondent waives any right to a hearing and/or any appeal of this proceeding.

6. Respondent represents that it is duly authorized to execute this Complaint CAFO and that the party signing this Complaint CAFO on behalf of the Respondent is duly authorized to bind the Respondent to the terms and conditions of this Complaint CAFO.

7. Respondent agrees that the provisions of this Complaint CAFO shall be binding on its officers, directors, employees, agents, servants, authorized representatives, successors, and assigns, including but not limited to, subsequent purchasers.

II.

STATUTORY AND REGULATORY BACKGROUND

8. Section 2(s) of FIFRA defines a "person" as any individual, partnership, association, corporation, or any organized group of persons whether incorporated or not.

9. Section 2(e)(3) of FIFRA defines a “commercial applicator” as “an applicator (whether or not the applicator is a private applicator with respect to some uses) who uses or supervises the use of any pesticide...”

10. Section 2(u) of FIFRA defines the term “pesticide” as any substance or mixture of substances intended for preventing, destroying, repelling, or mitigating any pest.

11. Section 2(p) of FIFRA defines “label” as the written, printed, or graphic matter on, or attached to, the pesticide or device or any of its containers or wrappers.

12. Section 2(ee) of FIFRA defines “to use any registered pesticide in a manner inconsistent with its labeling” as to use any registered pesticide in a manner not permitted by the labeling...”

13. Pursuant to the Worker Protection Standard, as stated in 40 C.F.R 170.3, a handler means any person, including a self-employee person: (1) Who is employed for any type of compensation by an agricultural establishment or commercial pesticide handling establishment to which subpart C of this part applies and who is: (i) Mixing, loading, transferring, or applying pesticides. (ii) Disposing of pesticides or pesticide containers. (iii) Handling opened containers of pesticides. (iv) Acting as a flagger. (v) Cleaning, adjusting, handling, or repairing the parts of mixing, loading, or application equipment that may contain pesticide residues. (vi) Assisting with the application of pesticides...

14. Pursuant to the Worker Protection Standard, as stated in 40 C.F.R 170.230, before any handler performs any handler task, the handler employer shall assure that the handler has been trained in accordance with this section during the last 5 years.

15. Pursuant to Section 14(a)(1) of FIFRA, 7 U.S.C. § 136(a)(1), any registrant, commercial applicator, wholesaler dealer, retailer, or other distributor who violates any provision

of FIFRA may be assessed a civil penalty by the Administrator of not more than \$5,000² for each offense.

16. Pursuant to Section 12(a)(2)(G) of FIFRA, and 40 CFR § 170.9, it is unlawful for any person “to use any registered pesticide in a manner inconsistent with its labeling.”

III.

FINDINGS OF FACT AND CONCLUSIONS OF LAW

17. The Respondent is Lake Air Services, which is located at 5445 Highway 380, Lake Arthur, Louisiana 70549.

18. Respondent is a “person” as that term is defined in Section 2(s) of FIFRA.

19. Respondent is a “commercial applicator” as that term is defined in Section 2(e)(3) of FIFRA.

20. On or about August 29-30, 2011, EPA representatives conducted an inspection at Respondent’s site under the Worker Protection Standard in 40 C.F.R. Part 170 (WPS Inspection).

21. During the WPS Inspection, EPA representatives observed and documented that Respondent had mixed and applied pesticides.

22. During the WPS Inspection, EPA representatives observed and documented that Respondent employed handlers to mix and apply the pesticides.

23. During the WPS Inspection, EPA representatives observed and documented that Respondent did not train a handler, as required in 40 C.F.R. 170.230.

24. Respondent is also a person subject to the civil penalty provisions of Section 14(a)(1) of FIFRA, 7 U.S.C. § 136l(a)(1).

²See footnote 1.

IV.
VIOLATIONS

25. Complainant incorporates by reference the allegations contained in paragraphs 1-24 of this Complaint CAFO.

26. Section 12(a)(2)(G) of FIFRA states that it shall be unlawful for any person it is unlawful for any person “to use any registered pesticide in a manner inconsistent with its labeling.”

27. Section 12(a)(2)(G) of FIFRA also states that any handler who performs any handling task, the handler employer shall assure that the handler has been trained in accordance with this section during the last 5 years.

28. By allowing an untrained handler to perform handling tasks, the Respondent used the pesticide(s) in a manner inconsistent with its labeling.

29. Respondent’s use of the pesticide inconsistent with its labeling constituted an unlawful act in violation of Section 12(a)(2)(G) of FIFRA.

V.
CIVIL PENALTY AND
TERMS OF SETTLEMENT

30. For the reasons set forth above, Respondent and Complainant have agreed to waive payment of a civil penalty, which has been determined in accordance with Section 14(a)(1) of FIFRA, 7 U.S.C. § 136l(a)(1), and the Civil Penalty Inflation Adjustment Rule³ which authorizes EPA to assess a civil penalty of up to FIVE THOUSAND DOLLARS (\$5,000.00)³ for each violation of FIFRA. Upon consideration of the entire record herein, including the Findings of

³ See footnote 1.

Fact and Conclusions of Law, which are hereby adopted and made a part hereof, and upon consideration of (1) the size of the Respondent's business, (2) the effect upon Respondent's ability to continue in business, and (3) the gravity of the alleged violation, the parties agree to the terms of this settlement. To develop the proposed penalty in this Complaint, the Complainant has taken into account the particular facts and circumstances of this case with specific reference to EPA's "Enforcement Response Policy for the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA)" dated December 2009, located at:

<http://www.epa.gov/compliance/resources/policies/civil/fifra/fifra-erp1209.pdf>, a copy of which

is enclosed with this Complaint CAFO as well as EPA's "Incentives for Self-Policing:

Discovery, Disclosure, Correction and Prevention Violations; Notice," dated December 22, 1995,

as amended ("Audit Policy"). Furthermore, the fact that the Respondent Self-Disclosed the

above-mentioned violations to the EPA, the Complainant has given appropriate adjustments to the

original penalty. It is ORDERED that Respondent be assessed a civil penalty of ONE

THOUSAND FOUR HUNDRED DOLLARS AND NO CENTS (\$1,400.00).

31. If applicable, within thirty (30) days of Respondent's receipt of this fully executed Complaint CAFO, Respondent shall pay the assessed civil penalty by cashier's or certified check, made payable to "Treasurer, United States of America, EPA - Region 6."

Payment shall be remitted in one of the alternatives provided in the collection information section below:

COLLECTION INFORMATION

CHECK PAYMENTS:

US Environmental Protection Agency
Fines and Penalties
Cincinnati Finance Center
PO Box 979077
St. Louis, MO 63197-9000

WIRE TRANSFERS:

Wire transfers should be directed to:

Federal Reserve Bank of New York

ABA = 021030004

Account = 68010727

SWIFT address = FRNYUS33

33 Liberty Street

New York NY 10045

Field Tag 4200 of the Fedwire message should read "D 68010727 Environmental Protection Agency"

OVERNIGHT MAIL:

U.S. Bank

1005 Convention Plaza

Mail Station SL-MO-C2GL

St. Louis, MO 63101

Contact: Natalie Pearson

314-418-4087

ACH (also known as REX or remittance express)

Automated Clearinghouse (ACH) for receiving US currency

PNC Bank

808 17th Street, NW

Washington, DC 20074

Contact – Jesse White 301-887-6548

ABA = 051036706

Transaction Code 22 - checking

Environmental Protection Agency

Account 310006

CTX Format

ON LINE PAYMENT:

There is now an On Line Payment Option, available through the Dept. of Treasury.

This payment option can be accessed from the information below:

WWW.PAY.GOV

Enter "sfo 1.1" in the search field;

Open form and complete required fields following directions for EPA Miscellaneous payments.

EPA Miscellaneous Payments - Cincinnati Finance Center

Form Number: **SFO Form Number 1.1**

Use this form to pay civil penalties, FOIA request, Superfund, Citations, Compliance Orders, and other miscellaneous payments

PLEASE NOTE: Docket number FIFRA-06-2012-0306 shall be clearly typed on the check to ensure proper credit. Respondent shall send a simultaneous notice of such payment, including a copy of the money order or check to the following:

Blake Sieminski
Pesticides Section (6PD-P)
U.S. EPA, Region 6
1445 Ross Avenue, Suite 1200
Dallas, TX 75202-2733

The check shall reference the Respondent's name and address, the case name and the docket number of the administrative complaint, and the check shall be accompanied by a transmittal letter. A photocopy of each check and its accompanying transmittal letter shall be mailed to:

Region 6 Hearing Clerk
U.S. EPA, Region 6
1445 Ross Avenue, Suite 1200
Dallas, Texas 75202-2733

Respondent's adherence to this request will ensure proper credit is given to the mater at hand.

32. Respondent agrees not to claim, or attempt to claim, a federal income tax deduction or credit covering all or any part of the civil penalty paid to the United States Treasurer.

33. Pursuant to 31 U.S.C. § 3717 and 40 C.F.R. § 13.11 unless otherwise prohibited by law, EPA will assess interest and late payment penalties on outstanding debts owed to the United States and a charge to cover the costs of processing and handling a delinquent claim. Interest on the civil penalty assessed in this CAFO will begin to accrue thirty (30) days after the effective date of the CAFO and will be recovered by EPA on any amount of the civil penalty that is not paid by the respective due date. Interest will be assessed at the rate of the United States Treasury tax and loan rate in accordance with 40 C.F.R. § 13.11(a). Moreover, the costs of the Agency's

administrative handling of overdue debts will be charged and assessed monthly throughout the period the debt is overdue. See 40 C.F.R. § 13.11(b).

34. The EPA will also assess a \$15.00 administrative handling charge for administrative costs on unpaid penalties for the first thirty (30) day period after the payment is due and an additional \$15.00 for each subsequent thirty (30) day period that the penalty remains unpaid. In addition, a penalty charge of up to six percent per year will be assessed monthly on any portion of the debt which remains delinquent more than ninety (90) days. See 40 C.F.R. § 13.11 (c). Should a penalty charge on the debt be required, it shall accrue from the first day payment is delinquent. See 31 C.F.R. § 901.9(d). Other penalties for failure to make a payment may also apply.


VI.
COSTS

35. Each party shall bear its own costs and attorneys fees.

IT IS SO AGREED:

FOR THE RESPONDENT:


Date: 10-14-2011



Mr. Wayne Guillot
Lake Air Services
5445 Highway 380
Lake Arthur, Louisiana 70549

FOR THE COMPLAINANT:

Date: 10/11/2011




Craig G. Carroll
Chief
Pesticides Section

FINAL ORDER

Pursuant to Section 14(a) of FIFRA, 7 U.S.C. § 136/(a), and the Consolidated Rules of Practice Governing the Administrative Assessment of Civil Penalties, 40 C.F.R. Part 22, the foregoing Consent Agreement is hereby ratified. This Final Order shall not in any case affect the right of EPA or the United States to pursue appropriate injunctive or other equitable relief or criminal sanctions for any violations of law. This Final Order shall resolve only those causes of action and/or violations alleged in the Complaint. Nothing in this Final Order shall be construed to waive, extinguish or otherwise affect Respondent's (or its officers, agents, servants, employees, successors, or assigns) obligation to comply with all applicable federal, state, and local statutes and regulations, including the regulations that were the subject of this action. The Respondent is ordered to comply with the terms of settlement and the civil penalty payment instructions as set forth in the Consent Agreement. In accordance with 40 C.F.R. § 22.31(b), this Final Order shall become effective upon filing with the Regional Hearing Clerk.

Dated 10/19/11

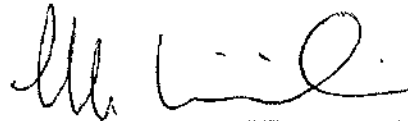

Pat Rankin
Regional Judicial Officer

CERTIFICATE OF SERVICE

I hereby certify that on the 19th day of OCTOBER, 2011, the original and one copy of the foregoing Complaint Consent Agreement and Final Order ("Complaint CAFO") was hand delivered to the Regional Hearing Clerk, U.S. EPA - Region 6, 1445 Ross Avenue, Dallas, Texas 75202-2733, and a true and correct copy was delivered to the following individual by method indicated below:

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Mr. Wayne Guillot
Lake Air Services
5445 Highway 380
Lake Arthur, Louisiana 70549



Blake Sieminski
Enforcement Officer
Pesticides Section